



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.nspto.gov

# NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

03/21/2003

FAY SHARPE BEALL FAGAN MINNICH & MCKEE 1100 SUPERIOR AVENUE 7TH FLOOR CLEVELAND, OH 44114

EXAMINER				
LE, HUYEN D				
ART UNIT	CLASS-SUBCLASS			
2643	381-370000			

DATE MAILED: 03/21/2003

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/101,601	09/14/1998	ADRIEN GALET	GSQ2017	9849

TITLE OF INVENTION: IMPROVEMENT TO AN ELECTROACOUSTIC COMMUNICATION DEVICE FOR USE ON PROTECTIVE HEADGEAR

APPLN. TYPE	SMALL ENTITY	issue fee	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$650	\$0	\$650	06/23/2003

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

# HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
- B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.
- Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- II. PART B FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.



#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Box ISSUE FEE

Commissioner for Patents Washington, D.C. 20231

(703)746-4000 Fax

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

03/21/2003

FAY SHARPE BEALL FAGAN MINNICH & MCKEE 1100 SUPERIOR AVENUE 7TH FLOOR CLEVELAND, OH 44114

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above, or being facsimile transmitted to the USPTO, on the date indicated below

(Depositor's name (Signature (Date)

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 09/101,601 09/14/1998 ADRIEN GALET GSO2017

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nonprovisional	YES	\$650	\$0	\$650	06/23/2003
EXAMI	NER	ART UNIT	CLASS-SUBCLASS		
LE, HUY	EN D	2643	381-370000		
1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  U Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  U "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.		2. For printing on the patent fr the names of up to 3 registered or agents OR, alternatively, (2 single firm (having as a men attorney or agent) and the na registered patent attorneys or ag is listed, no name will be printed	patent attorneys ) the name of a there a registered mes of up to 2 gents. If no name		

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent) ☐ individual ☐ corporation or other private group entity ☐ government 4a. The following fee(s) are enclosed: 4b. Payment of Fee(s): ☐ A check in the amount of the fee(s) is enclosed. □ Issue Fee □ Payment by credit card. Form PTO-2038 is attached. Publication Fee ☐ The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number \_\_\_\_\_(enclose an extra copy of this form). □ Advance Order - # of Copies Deposit Account Number Commissioner for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

(Date)

NOTE; The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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1100 SUPERIOR A			ART UNIT	PAPER NUMBER
7TH FLOOR CLEVELAND, OH 44114		_	2643	16
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# Determination of Patent Term Extension under 35 U.S.C. 154 (b) (application filed after June 7, 1995 but prior to May 29, 2000)

The patent term extension is 0 days. Any patent to issue from the above identified application will include an indication of the 0 day extension on the front page.

If a continued prosecution application (CPA) was filed in the above-identified application, the filing date that determines patent term extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system. (http://pair.uspto.gov)

Any questions regarding the patent term extension or adjustment determination should be directed to the Office of Patent Legal Administration at (703)305-1383.



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1100 SUPERIOR	<del>_</del>		ART UNIT	PAPER NUMBER
7TH FLOOR CLEVELAND, OH 44114		_	2643	
		D	ATE MAILED: 03/21/2003	

# Notice of Fee Increase on January 1, 2003

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after January 1, 2003, then the amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" since there will be an increase in fees effective on January 1, 2003. See Revision of Patent and Trademark Fees for Fiscal Year 2003: Final Rule, 67 Fed. Reg. 70847, 70849 (November 27, 2002).

The current fee schedule is accessible from: http://www.uspto.gov/main/howtofees.htm.

If the issue fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due," but not the correct amount in view of the fee increase, a "Notice to Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice to Pay Balance of Issue Fee," if the response to the Notice of Allowance and Fee(s) due form is to be filed on or after January 1, 2003 (or mailed with a certificate of mailing on or after January 1, 2003), the issue fee paid should be the fee that is required at the time the fee is paid. If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously paid issue fee should be paid. See Manual of Patent Examining Procedure, Section 1308.01 (Eighth Edition, August 2001).

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.



# Notice of Allowability

Application No. **09/101,601** 

Applicant(s)

Examiner

HUYEN LE 26

Galet et al.

2643

--The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. The initiative of the Office or upon petition by the applicant. See 37 CFR 1.3	communication will be mailed in due course. his application is subject to withdrawal from issue at
1. X This communication is responsive to 05/07/02	
2. X The allowed claim(s) is/are 1-2 and 5-12 which have been renum	obered as 1-10
3.   The drawings filed on are accepted by the	Examiner.
4. X Acknowledgement is made of a claim for foreign priority under 3	5 U.S.C. § 119(a)-(d).
a) X All b) □ Some* c) □ None of the:	
1. X Certified copies of the priority documents have been received	red.
2.   Certified copies of the priority documents have been received.	red in Application No
<ol> <li>Copies of the certified copies of the priority documents have application from the International Bureau (PCT Rule 17.</li> </ol>	2(a)).
*Certified copies not received:	
5.   Acknowledgement is made of a claim for domestic priority under	35 U.S.C. § 119(e) (to a provisional application).
(a) $\square$ The translation of the foreign language provisional application	has been received.
6. Acknowledgement is made of a claim for domestic priority under	35 U.S.C. §§ 120 and/or 121.
Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communoted below. Failure to timely comply will result in ABANDONMENT of this EXTENDABLE.	
7. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note th INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) v	
8. 🗵 CORRECTED DRAWINGS must be submitted.	
(a) $\square$ including changes required by the Notice of Draftsperson's Pa	atent Drawing Review (PTO-948) attached
1) $\square$ hereto or 2) $\square$ to Paper No	
(b) $\square$ including changes required by the proposed drawing correction approved by the examiner.	on filed, which has been
(c) including changes required by the attached Examiner's Amen	dment/Comment or in the Office action of
Paper No	AREO BY APPLICANT TO BE INFORMAL. se written on the drawings in the top margin (not the back) of all letter addressed to the Official Draftsperson.
9. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOG attached Examiner's comment regarding REQUIREMENT FOR THE	
Attachment(s)	
1 Notice of References Cited (PTO-892)	2 Notice of Informal Patent Application (PTO-152)
3 Notice of Draftsperson's Patent Drawing Review (PTO-948)	4 Interview Summary (PTO-413), Paper No
5 Information Disclosure Statement(s) (PTO-1449), Paper No(s).	6 X Examiner's Amendment/Comment
7 Examiner's Comment Regarding Requirement for Deposit of Biological Material	8 X Examiner's Statement of Reasons for Allowance
9 Other	

Application/Control Number: 09/101,601

Art Unit: 2643

Page 2

# **EXAMINER'S AMENDMENT**

- An examiner's amendment to the record appears below. Should the changes and/or 1. additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.
- 2. The application has been amended as follows: In claim 2, line 12, before "lateral", "a" has been changed to --the--.

# REASONS FOR ALLOWANCE

The following is an examiner's statement of reasons for allowance: 3.

None of prior art teaches that a protective headgear equipped with an electroacoustic type communication device comprises a rigid external principle shell which includes two lateral wall portions covering and corresponding to the ear zones of a user, and connection means to removably attach and position a case within the ear zones of the rigid shell, as specifically claimed in claims 1, 7 and 8, wherein the case includes a speaker and an acoustic pick up, and wherein the connection means includes a removable connection between the case and the rigid shell.

None of prior art teaches that a protective headgear equipped with an electroacoustic type communication device comprises a case including an extension which defines a connection tab, a rigid external principle shell which includes two lateral wall portions covering and corresponding to the ear zones of a user, and connection means to removably attach and position the case, an

Application/Control Number: 09/101,601

Art Unit: 2643

acoustic pick-up and a speaker within the ear zones of the rigid shell, as specifically claimed in claims 2 and 11, wherein the case includes the speaker and the acoustic pick up, and wherein the connection means includes a removable connection between the case and the rigid shell.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

# Conclusion

4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Huyen Le whose telephone number is (703) 305-4844. The examiner can normally be reached on Monday through Friday from 9:30AM to 6:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Curtis Kuntz, can be reached on (703) 305-4708.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to **Technology Center 2600 Customer Service Office** whose telephone number is (703) 306-5631.

Any response to this action should be failed to:

Commissioner of Patents and Trademarks Washington, D.C. 20231

or faxed to:

(703) 872-9314

Hand-delivered responses should be brought to Crystal Park II, 2121 Crystal Drive, Arlington. VA., Sixth Floor (Receptionist).

HI.

March 18, 2003

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Page 3